PTO/SB/21 (09-04) (AW 10/2004) Approved for use through 7/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/980,880	
Filing Date	September 3, 2002	
First Named Inventor	Yoshio GODA, et al.	
Art Unit	1745	
Examiner Name	Robert W. Hodge	
Attorney Docket No.	MAT-8189US	

Fee Transmittal Form					
Amendment/Reply After Final After Final After Final Aftidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Registration No. 34,515 CERTIFICATE OF TRANSMISSION / MAILING			to TC		
Extension of Time Request Change of Correspondence Address Express Abandonment Request Information Disclosure Statement Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Name Response Lawrence E. Ashery Date March 6, 2007 Change of Correspondence Address Status Letter Cother Enclosure(s) (please identify below): PTO-2038 Return postcard (2) References EU Search Report Firm Name Remarks: CERTIFICATE OF TRANSMISSION / MAILING	After Final	Petition to Convert to a Provisional Application	of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply		
Information Disclosure Statement		Change of Correspondence Address			
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Name Signature Printed Name Lawrence E. Ashery Date March 6, 2007 Registration No. 34,515 CERTIFICATE OF TRANSMISSION / MAILING		Request for Refund CD, Number of CD(s)	identify below): PTO-2038 Return postcard		
Firm Name RetnerPrestia Signature Printed Name Lawrence E. Ashery Date March 6, 2007 Registration No. 34,515 CERTURICATE OF TRANSMISSION / MAILING	Incomplete Application Response to Missing Parts		EU Search Report		
Signature Printed Name Lawrence E. Ashery Date March 6, 2007 Registration No. 34,515 CERTIFICATE OF TRANSMISSION / MAILING	SIGNATURE	OF APPLICANT, ATTORNEY OR AG	ENT		
CERTIFICATE OF TRANSMISSION / MAILING	Signature Printed Name Lawrence E. Ashery				
I hereby certify that this correspondence is being factimile tensmitted to the USPTO or denosited with the United States Postal Service with sufficient					
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Signature Said	Signature Sett				
Typed or Printed Name Beth Johnson Date March 6, 2007	Typed or Printed Name Beth Johnson		Date March 6, 2007		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/980,880

Applicant:

Y. GODA et al.

Filed:

September 3, 2002

Title:

TOP SEALING PLATE, BATTERY USING THE TOP SEALING PLATE, AND

METHOD OF MANUFACTURING THE BATTERY

TC/A.U.:

1745

Examiner:

Robert W. Hodge

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b forms submitted herewith. Copies of the references listed, other than U.S. patents, are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English Language:

- 1. JP 61-114466
- 2. JP 59-189557

References 1) and 2) include an English language abstract.

03/12/2007 EHAILE1 00000009 09980880

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189.09 OP

MAT-8189US PATENT

References 1) and 2) were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609(a)iii, second paragraph.

STATEMENT UNDER 37 C.F.R. § 1.97(e) [choose one]

The undersigned hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

The required fee set forth in 37 C.F.R. § 1.17(p) is provided herewith.

Respectfully submitted

Lawrence E. Ashery, Reg. No. 34,515 Attorney for Applicants

LEA/bj

Enclosures: PTO/SB/08a and PTO/SB/08b

(2) References Search Report Transmittal Form

Credit Card Payment Form

Dated: March 6, 2007

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Director is hereby authorized to charge or credit Deposit Account No. 18-0350 for any additional fees, or any underpayment or credit for overpayment in connection herewith..

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22313-1450 on March 6, 2007.

Beth Johnson

123076



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known		
Application Number	09/980,880	
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First Named Inventor	Y. GODA et al.	
Art Unit	1745	
Examiner Name	Robert W. Hodge	
Attorney Docket No.	MAT-8189US	

	U.S. PATENT DOCUMENTS					
		Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Examiner Initials*		Number - Kind Code ^{2 (if known)}				
		US-4,662,533	05-05-1987	TAKAHASHI AKIRA et al.		
		US-				
		US-				
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ^{5 (If known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	ᠮ
Initials		JP 61 114466 (w/English Abstract)	06-02-1986	SHIN KOBE ELECTRIC MACHINERY		
		JP 59 189557 (w/English Abstract)	10-27-1984	MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.		
			-			

Examiner	Date	
Signature	Considered	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Applicant is to place a check mark here if English language translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known		
Application Number	09/980,880	
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First Named Inventor	Y. GODA et al.	
Art Unit	1745	
Examiner Name	Robert W. Hodge	
Attorney Docket No.	MAT-8189US	

	NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
		European Search Report for corresponding European Patent Application No. 01 906 345.2 dated February 20, 2007.			
			<u> </u>		
Examiner Signature		Date Considered			

²Applicant is to place a check mark here if English language translation is attached.

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The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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1/Applicant's unique citation designation number (optional).